

Electronic Acknowledgement Receipt

EFS ID:	1201072
Application Number:	10598898
Confirmation Number:	1805
Title of Invention:	HIGH BRIGHTNESS ILLUMINATION DEVICE WITH INCOHERENT SOLID STATE LIGHT SOURCE
First Named Inventor:	HANS ZOU
Customer Number:	24737
Filer:	Michael E. Marion/EDNA CHAPA
Filer Authorized By:	Michael E. Marion
Attorney Docket Number:	US040151
Receipt Date:	14-SEP-2006
Filing Date:	
Time Stamp:	14:26:25
Application Type:	U.S. National Stage under 35 USC 371
International Application Number:	PCT/IB05/50897

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 900
RAM confirmation Number	1122
Deposit Account	141270

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Application Data Sheet	PHUS040151_ADS.pdf	1731673	no	4
Warnings:					
Information:					
2	Preliminary Amendment	PHUS040151_PRELIM.pdf	73456	no	3
Warnings:					
Information:					
3	Oath or Declaration filed	PHUS040151_DECLARATION.pdf	104902	no	2
Warnings:					
Information:					
4	Information Disclosure Statement (IDS) Filed	PHUS040151_IDS.pdf	981176	no	5
Warnings:					
Information:					
5	Foreign Reference	PHUS040151_EP1416545.pdf	645186	no	16
Warnings:					
Information:					
6	Foreign Reference	PHUS040151_EP1496380.pdf	384870	no	7
Warnings:					
Information:					
7	Foreign Reference	PHUS040151_EP1372008.pdf	796656	no	17
Warnings:					
The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing					
Information:					
8	Foreign Reference	PHUS040151_WO200029785.pdf	980270	no	25
Warnings:					
Information:					

9	Foreign Reference	PHUS040151_JP11261111.pdf	781470	no	10
Warnings:					
Information:					
10	Foreign Reference	PHUS040151_JP2003086845.pdf	497523	no	8
Warnings:					
Information:					
11	Foreign Reference	PHUS040151_JP051135258.pdf	153295	no	3
Warnings:					
Information:					
12	Foreign Reference	PHUS040151_JP61230110.pdf	113802	no	3
Warnings:					
Information:					
13	Foreign Reference	PHUS040151_JP2000294831.pdf	1771508	no	22
Warnings:					
Information:					
14	Foreign Reference	PHUS040151_JP60213069.pdf	100012	no	3
Warnings:					
Information:					
15	Foreign Reference	PHUS040151_JP58131781.pdf	102663	no	3
Warnings:					
Information:					
16	Fee Worksheet (PTO-875)	fee-info.pdf	8427	no	2
Warnings:					
Information:					
Total Files Size (in bytes):				9226889	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:				
Filing Date:				
Title of Invention:	HIGH BRIGHTNESS ILLUMINATION DEVICE WITH INCOHERENT SOLID STATE LIGHT SOURCE			
First Named Inventor:	HANS ZOU			
Filer:	Michael E. Marion/EDNA CHAPA			
Attorney Docket Number:	US040151			
Filed as Large Entity				
U.S. National Stage under 35 USC 371 Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
National Stage Fee	1631	1	300	300
Natl Stage Search Fee - Report provided	1642	1	400	400
National Stage Exam - all other cases	1633	1	200	200
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)		900		